2835 Phillip HK Newly Listed Equities Index ETF

Sep 2026

輝立資本管理(香港)有限公司
PHILLIP CAPITAL MANAGEMENT (HK) LTD

IMPORTANT RISK WARNINGS / FUND INFORMATION FOR HONG KONG INVESTORS

Investor should not make an investment decision based on the information on this material alone, you should refer to the Sub-Fund's Prospectus for details, including risk factors. Investment involves risk, including the loss of principle.
Please note:

Phillip HK Newly Listed Equities Index ETF ("The Sub-Fund") is an exchange-traded fund designed to provide investment results that closely correspond to the performance of Solactive Hong Kong Newly Listed Equities Index (Net Total Return, NTR)("Index") but its return may deviate from that of the Index.

Investment involves risk, including General Investment Risk, New Index Risk, Equity Market Risk, Newly Listed Securities Risk, Concentration Risk, Emerging Market Risk, Market Capitalization Risk, Passive Investment Risk, Trading Risk, Tracking Error Risk, Termination Risk and Market Maker Risk. Investors may lose part or all of their investments. Due to fees and expenses of the Sub-Fund, liquidity of the market and tracking strategy adopted by the Manager, the Sub-Fund's return may deviate from that of the Index.

The trading price of the Sub-Fund may differ from the underlying net asset value ("NAV") per unit.

The Sub-Fund may not be suitable for all investors. Investors should not invest based on this website only. Investors should read the Sub-Fund's Prospectus including all risk factors, consider the product features, their own investment objectives, risk tolerance level and other factors, and seek independent financial and professional advice as appropriate prior to making any investment.

Investment Objective

This Sub-Fund is a passive ETF and seeks to provide investment returns that closely track the performance of the Solactive Hong Kong Newly Listed Equities Index (Net Total Return, NTR) before fees and expenses.

As of 30/09/2026

Key Facts

Exchange Listing	The Stock Exchange of Hong Kong
Launch Date	18/11/2022
Listing Date	21/11/2022
Tracked Index	Solactive Hong Kong Newly Listed Equities Index (Net Total Return, NTR)
Base Currency	HKD
Fund Manager	Phillip Capital Management (HK) LTD
Trustee Name	HSBC Institutional Trust Services (Asia) Limited
Application Share Size (only by or through Participating Dealers)	Minimum 100,000 shares (or multiples thereof) for cash or in-kind creation / redemption
Stock code (HK)	2835
Number of Stocks held	50
Net Asset Value (NAV) per Unit	HKD 8.0906
Total Net Asset Value	HKD 60.68 million
Issued Units	7,500,000
Management Fee	0.6% p.a.
Trustee Fee	0.06% p.a., minimum HKD 30,000 per month
Tracking Difference Over a Year#	-2.94%
Tracking Error Over a Year##	0.22%
Ongoing Charges Over a Year###	1.84%
Trading Board Lot Size	100 Shares
Distribution Policy	No distribution is intended to be made
ISIN	HK0000893468
Financial Year End	31 December every year

Performance (%)*

Cumulative Performance	NAV (%)	Index (%)
1M	-11.83	-11.56
3M	-19.95	-19.51
6M	-27.14	-26.08
YTD	-34.03	-32.70
Since Launch	-16.86	-9.60

Calendar Year Performance*

2025	31.48	34.42
2024	-6.16	-4.02
2023	-10.10	-8.51
2022^	13.61	13.81
2021	-	-

^ Performance calculated from the Sub-Fund's Listing Date (i.e. 21 Nov 2022) to the end of that calendar year.

Top 10 Holdings (%)

CONTEMPORARY AMPEREX TECHNOLOGY	9.34
ZHONGJI INNOLIGHT CO LTD	6.39
MIDEA GROUP CO LTD	5.84
J&T GLOBAL EXPRESS LTD	5.18
HORIZON ROBOTICS INC	4.65
SHANGHAI BIREN TECHNOLOGY CO LTD	4.00
MINIMAX GROUP INC	3.73
WUXI XDC CAYMAN INC	3.10
INSILICO MEDICINE CAYMAN TOPCO	2.97
BUSY MING GROUP CO LTD	2.51

Sector Breakdown (%)

Information Technology	45.10
Industrials	21.10
Health Care	13.33
Consumer Discretionary	12.18
Consumer Staples	4.33
Cash and Others	1.91
Communication Services	1.34
Materials	0.71

This is the actual Tracking Difference over the past year. Investors should refer to the Sub-Fund's website for more up-to-date information on actual Tracking Differences.

This is the actual trailing year Tracking Error based on the number of trading days over the trailing year and annualized daily Tracking Error. Investors should refer to the Sub-Fund's website for more up-to-date information on actual Tracking Error.

The Ongoing Charges Over a Year is calculated based on recurring expenses for the year ended December 31, 2025, and is expressed as a percentage of the Sub-Fund's average Net Asset Value during the period. It includes fees paid to fund managers and trustees. Please refer to the "Charges and Expenses" section of the prospectus for the details. The ratio is subject to change from year to year.

* The Sub-fund's Performance and Calendar Year Performance are calculated based on the total return of the closing Net Asset Value to Net Asset Value, the total return with dividend (if any) reinvested. Performance data has been calculated in HKD including ongoing charges and excluding your trading costs on SEHK.
The index is Solactive Hong Kong Newly Listed Equities Index (Net Total Return, NTR). The index's Performance and Calendar Year Performance are calculated based on the total return with dividend reinvested, net of withholding tax. Source: Bloomberg L.P.

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Participating dealer

Phillip Securities (HK) Limited

Mirae Asset Securities (HK) Limited

GF Securities (Hong Kong) Brokerage Limited

Market Maker

Phillip Securities (HK) Limited

About Phillip Capital Management (Hong Kong) Limited

Phillip Capital Management is an independent fund management company, based in Singapore since 1995. We are the Asset Management arm of Phillip Capital Group and are spread across a few cities: Singapore, Kuala Lumpur, Bangkok, Jakarta, Hong Kong, and London. Here at Phillip Capital Management, we always stay aware of our client's needs and we enjoy the challenge of finding growth companies to invest in. We manage funds for both individual investors as well as institutions, to help our clients achieve their financial goals.

Disclaimer

Please read the disclaimer in relation to the index in the Sub-Fund's Prospectus. The Sub-Fund has been authorized by the Securities and Futures Commission in Hong Kong ("SFC").(SFC authorisation is not a recommendation or endorsement of the Sub-Fund nor does it guarantee the commercial merits of the Sub-Fund or its performance. It does not mean the Sub-Fund is suitable for all investors nor is it an endorsement of its suitability for any particular investor or class of investors.) Certain information contained in this factsheet is obtained and prepared from sources which Phillip Capital Management (HK) Limited reasonably believes to be reliable. For information sourced externally (as disclosed), Phillip Capital Management (HK) Limited has reasonable belief that such information is accurate and complete. This factsheet is for your information and reference only, and does not constitute any offer, solicitation or recommendation to buy or sell Units in the Sub-Fund. Investors should note that investment involves risks and not all investment risks are predictable. Prices of fund units may go up as well as down and past performance information presented is not indicative of future performance. Before making any investment decisions, investors should read the Prospectus of the Sub-Fund (including the full text of the risk factors stated therein (such as the arrangement in the event that the Sub-Fund is delisted)) in detail and obtain appropriate professional advice where necessary. This factsheet has not been reviewed by the SFC.

(Sources: Phillip Capital Management (HK) Limited/ HSBC Institutional Trust Services (Asia) Limited/ Bloomberg L.P.
All information as at 30 Sep 2026.)

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*This website has not been reviewed by the SFC.

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Issued by: Phillip Capital Management (HK) Limited